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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/717,189 /	11/21/2000 /	Bernard H. Wolzenski /	GNA 1804.1 /

CONFIRMATION NO. 9504

FORMALITIES LETTER



\*OC000000005892460\*

321  
SENNIGER POWERS LEAVITT AND ROEDEL  
ONE METROPOLITAN SQUARE  
16TH FLOOR  
ST LOUIS, MO 63102

Date Mailed: 03/22/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

*P ee*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 1 - ATTORNEY/APPLICANT COPY

04/09/2001 SMINASS1 00000036 09717189

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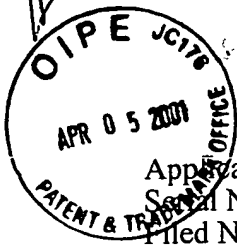
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04-06-01

Gr. 1804-1  
PATENT

See top of \$  
✓ #3



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Wolzenski et al.

Serial No. 09/717,189

Filed November 21, 2000

Confirmation No. 9504

For PROCESS FOR COMPREHENSIVE FINANCIAL AND ESTATE PLANNING

April 5, 2001

**LETTER IN RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION - FILING DATE GRANTED**

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS,

SIR:

In response to the "Notice to File Missing Parts of Application - Filing Date Granted,"  
\* dated March 22, 2001, enclosed is applicants' signed Declaration and Power of Attorney in the  
\* above-referenced application. A copy of the Notice is returned herewith.  
\*

Also enclosed is a check in payment of the surcharge.

An assignment by the inventor(s) to General American Life Insurance Company is being  
mailed today.

Respectfully submitted,

Robert M. Bain, Reg. No. 36,736  
SENNIGER, POWERS, LEAVITT & ROEDEL  
One Metropolitan Square, 16th Floor  
St. Louis, Missouri 63102  
(314) 231-5400

RMB/cjl

Express Mail Label No. EL 757702291 US  
Box Missing Parts



FEE TRANSMITTAL

Application Number 09/717,189  
Filing Date November 21, 2000  
Confirmation No. 9504  
First Named Inventor Bernard H. Wolzenski  
Group Art Unit 2164  
Attorney Docket Number GNA 1804.1

**METHOD OF PAYMENT**

1. ☐ The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345.
- ☒ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.
- ☐ Applicant claims small entity status.
2. ☒ Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.

**FEE CALCULATION**

1. ☐ BASIC FILING FEE Subtotal (1) \$ \_\_\_\_\_  
(Type: \_\_\_\_\_)
2. ☐ EXTRA CLAIM FEES Subtotal (2) \$ \_\_\_\_\_  
Total Claims \_\_\_\_\_  
Independent Claims \_\_\_\_\_  
Multiple Dependent Claims \_\_\_\_\_
3. ☒ ADDITIONAL FEES Subtotal (3) \$ 40.00
- ☐ Surcharge - late filing fee or oath  
☐ Surcharge - late provisional filing fee or cover sheet  
☐ Extension for reply within \_\_\_\_\_ month  
☐ Notice of Appeal  
☐ Filing a Brief in Support of an appeal  
☐ Request for ex parte Reexamination  
☐ Petitions to the Commissioner  
☐ Submission of Information Disclosure Statement  
☒ Recording each patent assignment per property  
☐ Request for Continued Examination  
☐ Other: \_\_\_\_\_

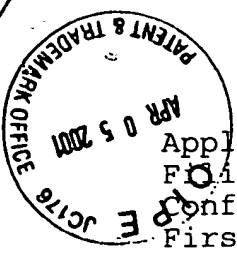
TOTAL AMOUNT OF PAYMENT \$40.00

Robert M. Bain  
Robert M. Bain, Reg. No. 36,736

April 5, 2001  
Date

RMB/cjl

Express Mail Label No. EL 757702291 US



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Application Number 09/717,189  
Filing Date November 21, 2000  
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Total Claims \_\_\_\_\_  
Independent Claims \_\_\_\_\_  
Multiple Dependent Claims \_\_\_\_\_
3. ☒ ADDITIONAL FEES Subtotal (3) \$ 130.00  
  
☒ Surcharge - late filing fee or oath  
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☐ Notice of Appeal  
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☐ Request for Continued Examination  
☐ Other: \_\_\_\_\_

**TOTAL AMOUNT OF PAYMENT** \$ 130.00

Robert M. Bain April 5, 2001  
Robert M. Bain, Reg. No. 36,736 Date

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Express Mail Label No. EL 757702291 US